

Derivatives and the Shape of Graphs (More)

**Section 4.3**

**Increasing and Decreasing**

Recall how we can tell if a function is increasing or decreasing.

If  $f'(x) > 0$  on an interval, the function is increasing on the interval.

If  $f'(x) < 0$  on an interval, the function is decreasing on the interval.

**The first Derivative Test**

If  $c$  is a critical point and the derivative changes from positive to negative, then the function has a maximum at  $c$ .

If  $c$  is a critical point and the derivative changes from negative to positive, then the function has a minimum at  $c$ .

If  $c$  is a critical point and the derivative changes from negative to negative or positive to positive, then the function has not maximum or minimum.

If  $f''(x) > 0$  on an interval, the function is concave up

If  $f''(x) < 0$  on an interval, the function is concave down

**The Second Derivative Test**

If a function  $f$  has a second derivative that is continuous near  $c$ ,

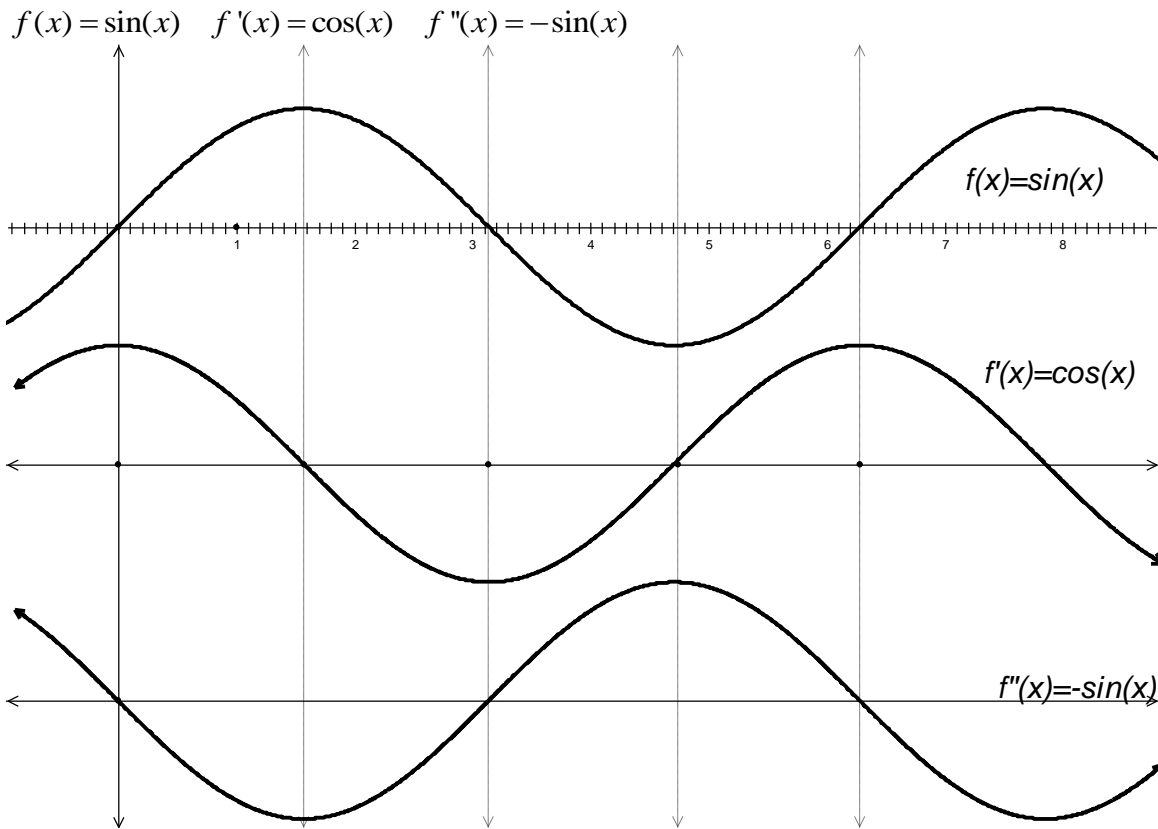
If  $f'(c) = 0$  and  $f''(c) > 0$  then  $f$  has a local minimum at  $c$ .

If  $f'(c) = 0$  and  $f''(c) < 0$  then  $f$  has a local maximum at  $c$ .

Points of inflection

If  $f''(c) = 0$  then  $c$  can be a point of inflection where the concavity changes direction.

**Example:**



Note that on  $\left[0, \frac{\pi}{2}\right]$   $f(x) = \sin(x)$  is increasing and  $f'(x) = \cos(x)$  is positive,

while on  $\left[\frac{\pi}{2}, \pi\right]$   $f(x) = \sin(x)$  is decreasing and  $f'(x) = \cos(x)$  is negative.

On the  $[0, \pi]$  we see that  $f''(x) = -\sin(x) < 0$  indicating that  $\sin(x)$  is concave down.

On the  $[\pi, 2\pi]$  we see that  $f''(x) = -\sin(x) > 0$  indicating that  $\sin(x)$  is concave up.

There is a critical point on  $f(x) = \sin(x)$  at  $\frac{\pi}{2}$  where  $\cos(x) = 0$ . Indicating it that there might be a maximum or minimum here, which of course there is.

We can see that  $f''(x) = -\sin(x) < 0$  at this point indicating by the 2<sup>nd</sup> derivative test that this is a maximum.

Similarly, we see at  $\frac{3\pi}{2}$  that the  $\cos(x) = 0$  indicating a critical point, and that  $-\sin(x)$  is positive so by the 2<sup>nd</sup> derivative test, the function has a minimum.

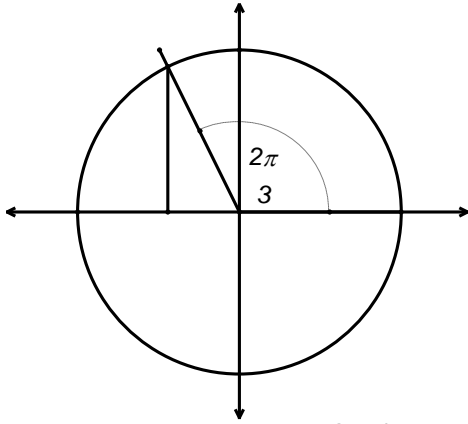
At  $x = 0$  and  $x = \pi$   $f''(x) = 0$  where we can see the concavity of  $\sin(x)$  change direction.

**Example:**

Find the local maximum and minimum values of the function

$$g(x) = x + 2\sin(x) \text{ on the interval } 0 \leq x \leq 2\pi$$

$$g'(x) = 1 + 2\cos(x) \text{ so, we have critical points at } 1 + 2\cos(x) = 0 \text{ or}$$
$$\cos(x) = \frac{-1}{2}$$



Solving this we find  $x = \frac{2\pi}{3}, \frac{4\pi}{3}$

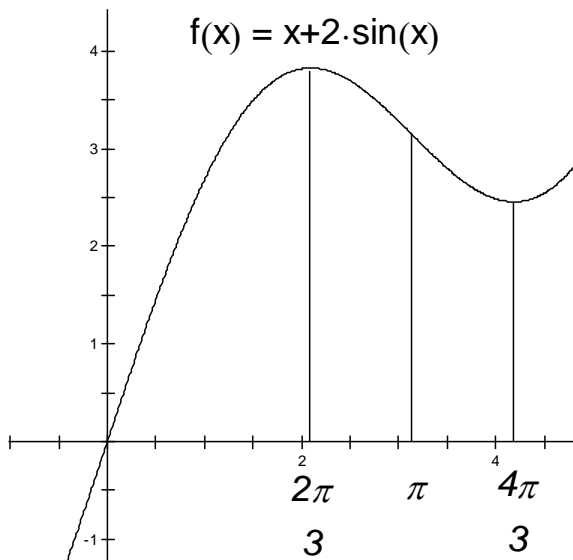
The second derivative is  $-2\sin(x)$  and we find that  $-2\sin\left(\frac{2\pi}{3}\right) = -2\sqrt{3}$

So, by the second derivative test, this is a maximum.

We also find that  $-2\sin\left(\frac{4\pi}{3}\right) = 2\sqrt{3}$  so, by the second derivative test, this is a minimum.

Finally, since  $f''(x) = -2\sin(x)$  is zero at  $\pi$  we see that there is a point of inflection there.

We can confirm this looking at the graph.



**Example:**

Find the local maximum and minimums, regions of concavity and points of inflection of

$$f(x) = x^4 - 4x^3$$

First, we find the derivatives

$$f'(x) = 4x^3 - 12x^2$$

$$f''(x) = 12x^2 - 24x$$

The critical points are at  $4x^3 - 12x^2 = 4x^2(x - 3) = 0$  so, they are 0, and 3.

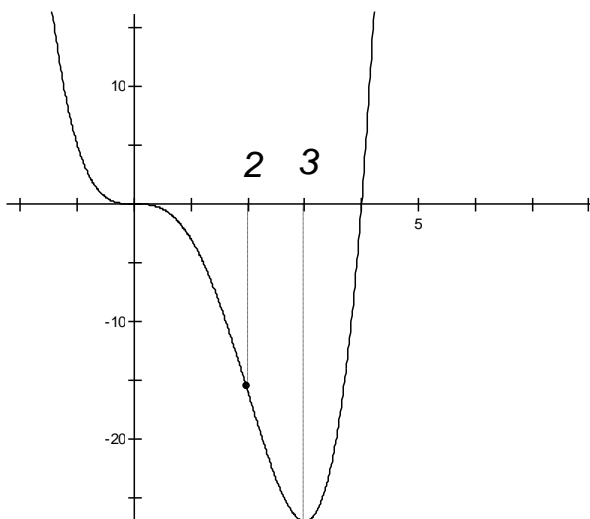
At these points  $f''(0) = 0$   $f''(3) = 36$  so at 0 we may have a point of inflection and at 3 we expect to have a minimum.

We can also check where

$$f''(x) = 12x^2 - 24x = 0$$

$$x(x - 2) = 0$$

So, there is an additional point of inflection at  $x = 2$ .



## Exercises

Describe the concavity of the graph and find the points of inflection (if any).

1.\*  $\frac{1}{x}$ .

5.\*  $\frac{1}{4}x^4 - \frac{1}{2}x^2$ .

9.\*  $(1-x)^2(1+x)^2$ .

2.  $x + \frac{1}{x}$ .

6.  $x^3(1-x)$ .

10.  $\frac{6x}{x^2+1}$ .

3.\*  $x^3 - 3x + 2$ .

7.\*  $\frac{x}{x^2-1}$ .

11.\*  $\frac{1-\sqrt{x}}{1+\sqrt{x}}$ .

4.  $2x^2 - 5x + 2$ .

8.  $\frac{x+2}{x-2}$ .

12.  $(x-3)^{1/5}$ .

13.\* Find  $d$  given that  $(d, f(d))$  is a point of inflection of

$$f(x) = (x-a)(x-b)(x-c).$$

For each of the following functions (i) find the critical points, (ii) find and classify extreme values (local, end-point, absolute), (iii) indicate where the function is increasing and where it is decreasing, (iv) indicate the concavity of the graph, (v) specify the points of inflection, and then (vi) sketch the graph.

1.\*  $f(x) = (x-2)^2$ .

2.  $f(x) = 1 - (x-2)^2$ .

3.\*  $f(x) = x^3 - 2x^2 + x + 1$ .

4.  $f(x) = \frac{2}{3}x^3 - \frac{1}{2}x^2 - 10x - 1$ .

5.\*  $f(x) = \frac{x}{3x-1}$ .

6.  $f(x) = \frac{2x}{4x-3}$ .

7.\*  $f(x) = \frac{x^2}{3x+1}$ .

8.  $f(x) = \frac{2x^2}{-x+1}$ .

9.\*  $f(x) = \frac{x}{(3x+1)^2}$ .

10.  $f(x) = \frac{2x}{(x+1)^2}$ .

11.\*  $f(x) = 3x^5 + 5x^3$ .

12.  $f(x) = 3x^4 + 4x^3$ .

13.\*  $f(x) = 1 + (x-2)^{4/3}$ .

14.  $f(x) = 1 + (x-2)^{5/3}$ .

15.\*  $f(x) = x^2(1+x)^2$ .

16.  $f(x) = x^2(1+x)^3$ .

17.\*  $f(x) = x\sqrt{1-x}$ .

18.  $f(x) = \sqrt{x-x^2}$ .

### Example:

Let's find the local maximums in  $f(x) = 3x^4 - 4x^3 - 12x^2 + 5$