

## Derivatives and the Shape of Graphs

### Section 4.3

Recall how we can tell if a function is increasing or decreasing.

If  $f'(x) > 0$  on an interval, the function is increasing on the interval.

If  $f'(x) < 0$  on an interval, the function is decreasing on the interval.

The book calls this the increasing/decreasing test.

Example:

Find where  $f(x) = 3x^4 - 4x^3 - 12x^2 + 5$  is increasing and decreasing.

We first find the derivative

$$f'(x) = 12x^3 - 12x^2 - 24x = 12x(x - 1)(x + 1)$$

We can see immediately that the derivative has 3 zeros at 0, 1, and -1, where there will be local maximums and minimums.

So, we just need to look at the intervals  $(-\infty, -1)$ ,  $(-1, 0)$ ,  $(0, 1)$  and  $(1, \infty)$

For  $(-\infty, -1)$  we can try -2.

$$f'(x) = -12 \cdot 8 - 12 \cdot 4 + 24 \cdot 2 = -96$$

So, on this interval the function is decreasing.

You could skip this step by noticing that the coefficient of  $x^4$  is positive and realizing that since the function is even, as  $x$  goes negative, the function will get very large. So, as it gets smaller from the left it will decrease.

For  $(-1, 0)$  we can try -1/2.

$$f'(x) = -12/8 - 12/4 + 24/2 = 7.5$$

So, on this interval the function is increasing.

For  $(0, 1)$  we can try 1/2.

$$f'(x) = -12/8 - 12/4 - 24/2 = -16.5$$

So, on this interval the function is decreasing.

For  $(1, \infty)$  we can try 2.

$$f'(x) = -12 \cdot 8 - 12 \cdot 4 - 24 \cdot 2 = -96$$

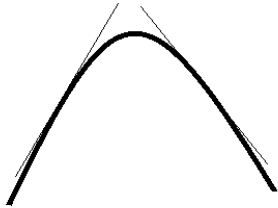
So, on this interval the function is increasing.

Again, you could skip this step knowing that the coefficient of the  $x^4$  is positive.

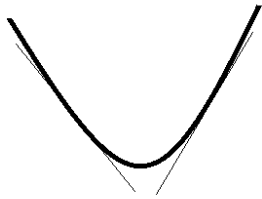
The first derivative test can tell us if a critical point is a maximum or a minimum.

### The first Derivative Test

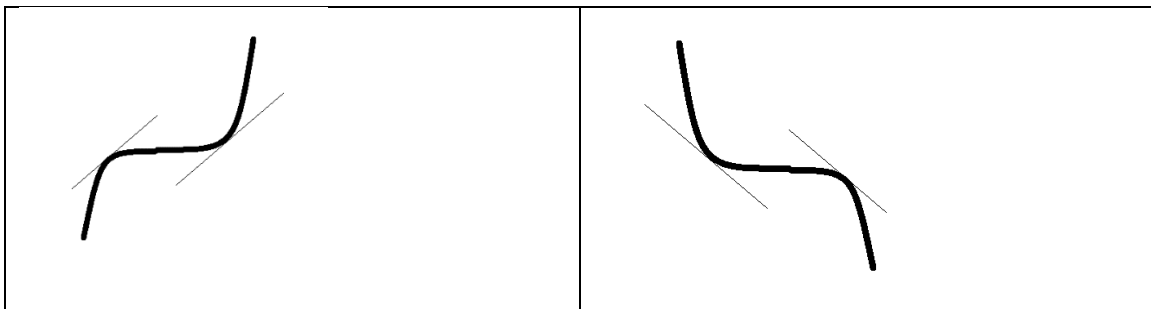
If  $c$  is a critical point and the derivative changes from positive to negative, then the function has a maximum at  $c$ .



If  $c$  is a critical point and the derivative changes from negative to positive, then the function has a minimum at  $c$ .



If  $c$  is a critical point and the derivative changes from negative to negative or positive to positive, then the function has no maximum or minimum.



**Example:**

Let's find the local maximums in  $f(x) = 3x^4 - 4x^3 - 12x^2 + 5$

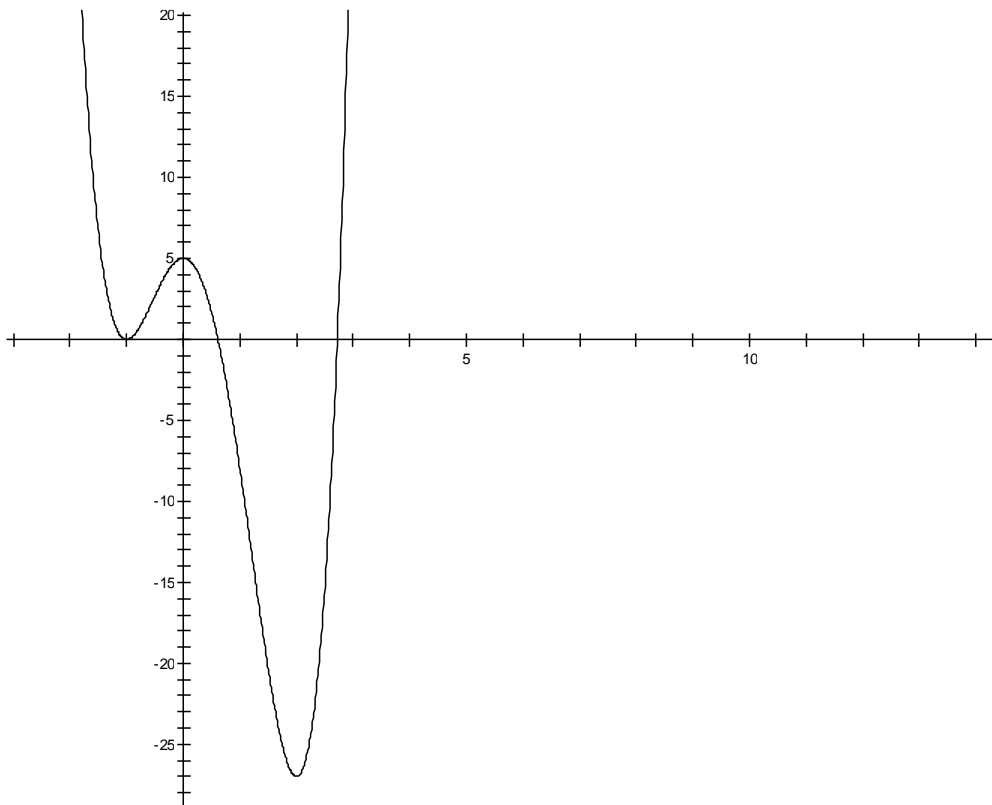
The critical points are -1, 0, and 1.

The function changes from -2 to -1/2 from negative to positive, so at -1 we have a minimum.

The function changes from -1/2 to +1/2 from positive to negative so at 0 we have a maximum.

The function changes from +1/2 to 2 from negative to positive, so at 1 we have a minimum.

The graph confirms this:



**Example:**

Find the local maximum and minimum values of the function

$$g(x) = x + 2\sin(x) \text{ on the interval } 0 \leq x \leq 2\pi$$

$g'(x) = 1 + 2\cos(x)$  so, we have critical points at  $1 + 2\cos(x) = 0$  or

$$\cos(x) = -\frac{1}{2}$$

Solving this we find  $x = \frac{2\pi}{3}, \frac{4\pi}{3}$

$$0 \leq x \leq \frac{2\pi}{3}$$

We can try  $\frac{\pi}{3}$  and we find that  $g'(\frac{\pi}{3}) = 1 + 2\cos(\frac{\pi}{3}) = 2 > 0$

So, on this interval the function is increasing.

$$\frac{2\pi}{3} \leq x \leq \frac{4\pi}{3}$$

We can try  $\pi$  and we find that  $g'(\pi) = 1 + 2\cos(\pi) = -1 < 0$

So, on this interval the function is decreasing.

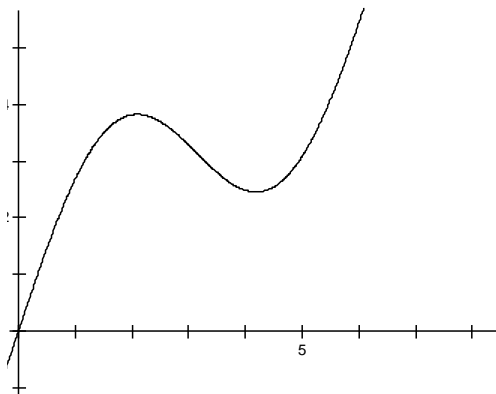
$$\frac{4\pi}{3} \leq x \leq 2\pi$$

We can try  $\frac{5\pi}{3}$  and we find that  $g'(\frac{5\pi}{3}) = 1 + 2\cos(\frac{5\pi}{3}) = 2 > 0$

So, on this interval the function is increasing.

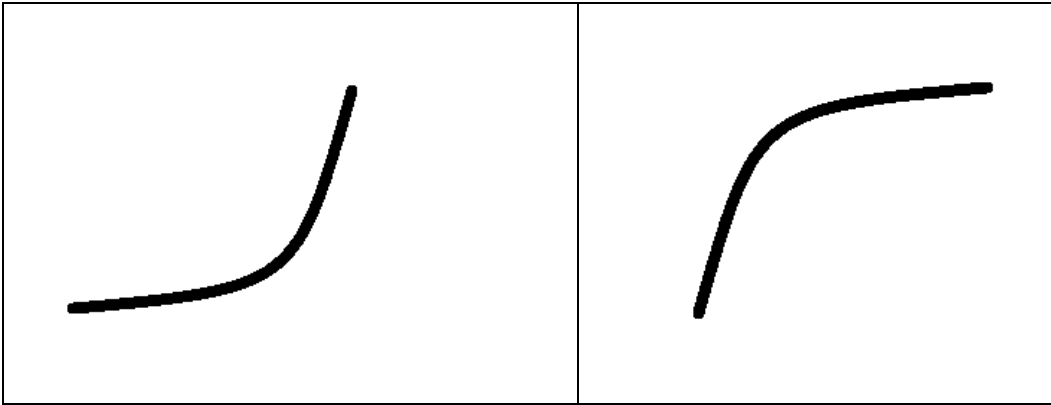
So, we conclude from the first derivative test that the function has a maximum at  $\frac{2\pi}{3}$  and minimum at  $\frac{4\pi}{3}$ .

Again we can confirm this looking at the graph.



## What the Second Derivative Tells Us About a Function

Taking a look at two graphs, consider the difference



These are both increasing functions; however, the slopes are increasing.

That is to say  $f''(x) > 0$

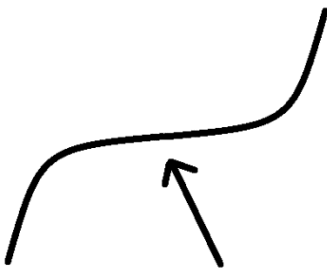
This is called concave up.

For the curve on the right the slopes are decreasing.

That is to say  $f''(x) < 0$

This is called concave down.

For a curve like this, what happens where the arrow is pointing to?



$$f''(x) = 0$$

When this happens and the first derivative goes from positive to negative or negative to positive, this is called a **point of inflection**.

## The Second Derivative Test

If a function  $f$  has a second derivative that is continuous near  $c$ ,

If  $f'(c) = 0$  and  $f''(c) > 0$  then  $f$  has a local minimum at  $c$ .

If  $f'(c) = 0$  and  $f''(c) < 0$  then  $f$  has a local maximum at  $c$ .

### Example:

Find the local maximum and minimums, regions of concavity and points of inflection of

$$f(x) = x^4 - 4x^3$$

First, we find the derivatives

$$f'(x) = 4x^3 - 12x^2$$

$$f''(x) = 12x^2 - 24x$$

The critical points are at  $4x^3 - 12x^2 = 4x^2(x - 3) = 0$  so, they are 0, and 3.

$$f''(0) = 0 \quad \text{and} \quad f''(3) = 36 \quad \text{and}$$

That tells us that 0 is not a maximum or minimum but 3 is a minimum.

For  $x < 0$   $f''(-1) = 12 + 24 > 0$  so the function is concave up.

For  $0 < x < 3$   $f''(1) = 12 - 24 < 0$  so the function is concave down.

For  $3 < x$   $f''(4) = 96 > 0$  so the function is concave up.

Since the first derivative changes sign at both 0 and 3, they are both points of concavity.

## Exercises

Describe the concavity of the graph and find the points of inflection (if any).

1.\*  $\frac{1}{x}$ .

5.\*  $\frac{1}{4}x^4 - \frac{1}{2}x^2$ .

9.\*  $(1-x)^2(1+x)^2$ .

2.  $x + \frac{1}{x}$ .

6.  $x^3(1-x)$ .

10.  $\frac{6x}{x^2+1}$ .

3.\*  $x^3 - 3x + 2$ .

7.\*  $\frac{x}{x^2-1}$ .

11.\*  $\frac{1-\sqrt{x}}{1+\sqrt{x}}$ .

4.  $2x^2 - 5x + 2$ .

8.  $\frac{x+2}{x-2}$ .

12.  $(x-3)^{1/5}$ .

13.\* Find  $d$  given that  $(d, f(d))$  is a point of inflection of

$$f(x) = (x-a)(x-b)(x-c).$$

For each of the following functions (i) find the critical points, (ii) find and classify extreme values (local, end-point, absolute), (iii) indicate where the function is increasing and where it is decreasing, (iv) indicate the concavity of the graph, (v) specify the points of inflection, and then (vi) sketch the graph.

1.\*  $f(x) = (x-2)^2$ .

2.  $f(x) = 1 - (x-2)^2$ .

3.\*  $f(x) = x^3 - 2x^2 + x + 1$ .

4.  $f(x) = \frac{2}{3}x^3 - \frac{1}{2}x^2 - 10x - 1$ .

5.\*  $f(x) = \frac{x}{3x-1}$ .

6.  $f(x) = \frac{2x}{4x-3}$ .

7.\*  $f(x) = \frac{x^2}{3x+1}$ .

8.  $f(x) = \frac{2x^2}{-x+1}$ .

9.\*  $f(x) = \frac{x}{(3x+1)^2}$ .

10.  $f(x) = \frac{2x}{(x+1)^2}$ .

11.\*  $f(x) = 3x^5 + 5x^3$ .

12.  $f(x) = 3x^4 + 4x^3$ .

13.\*  $f(x) = 1 + (x-2)^{4/3}$ .

14.  $f(x) = 1 + (x-2)^{5/3}$ .

15.\*  $f(x) = x^2(1+x)^2$ .

16.  $f(x) = x^2(1+x)^3$ .

17.\*  $f(x) = x\sqrt{1-x}$ .

18.  $f(x) = \sqrt{x-x^2}$ .