

## Derivatives and the Shape of Graphs

### Section 4.3

The tools we have to connect an equation to a graph.

The roots are where  $f(x) = 0$

If  $f'(x) > 0$  on an interval, then the function is increasing,

If  $f'(x) < 0$  on an interval, then the function is decreasing

If  $f'(x) = 0$  at a point then function may have a maximum, minimum or neither at this point.

#### The first derivative test

If  $f'(x)$  goes from + to - at  $c$ , then there is a maximum

If  $f'(x)$  goes from - to + at  $c$ , then there is a minimum

#### Concavity

If  $f''(x) > 0$  then  $f'(x)$  is increasing so the graph is concave up

If  $f''(x) < 0$  then  $f'(x)$  is decreasing so the graph is concave down

#### The Second Derivative Test

If a function  $f(x)$  has a second derivative that is continuous near  $c$ ,

If  $f'(c) = 0$  and  $f''(c) > 0$  then  $f(x)$  has a local minimum at  $c$ .

If  $f'(c) = 0$  and  $f''(c) < 0$  then  $f(x)$  has a local maximum at  $c$ .

If  $f'(c) = 0$  and  $f''(c) = 0$  then  $f(x)$  has a point of inflection at  $c$ .

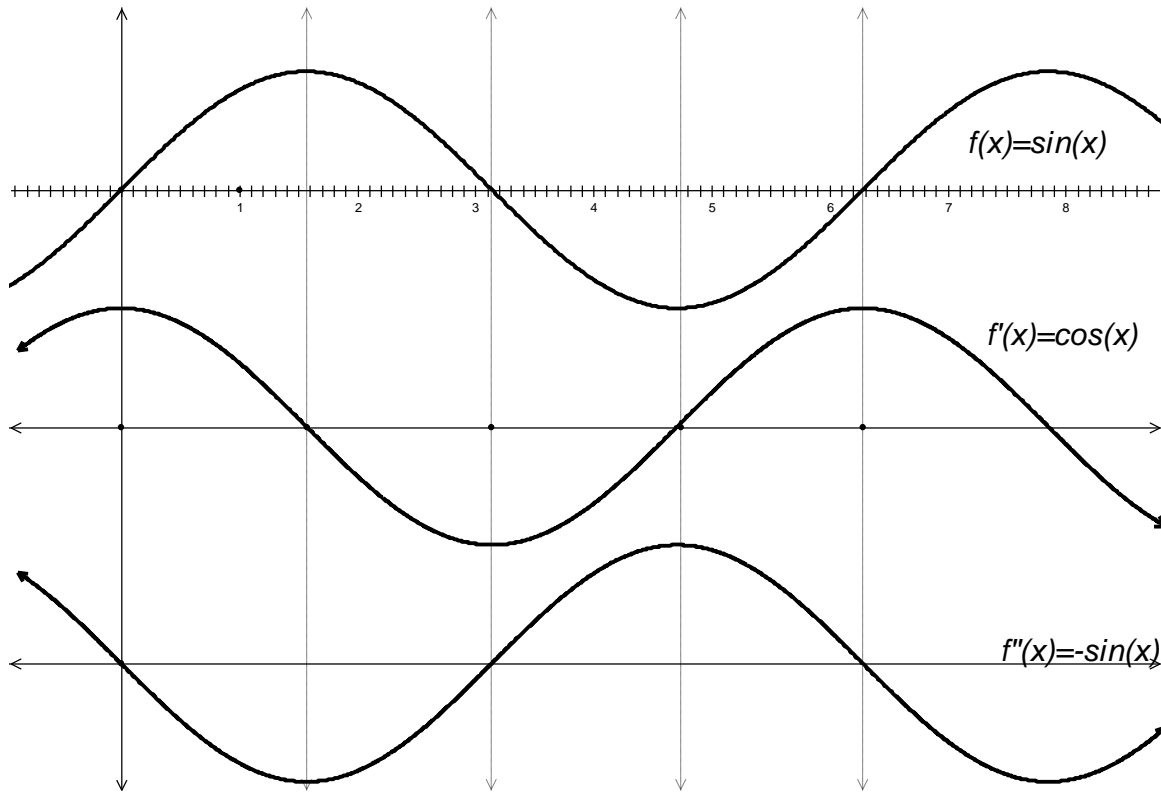
If  $f''(x) = 0$  at a point, then it is a point of inflection where the function switches concavity

**Example:**

$$f(x) = \sin(x)$$

$$f'(x) = \cos(x)$$

$$f''(x) = -\sin(x)$$



Note the roots of  $f(x)$  at  $0, \pi, 2\pi$

At  $\frac{\pi}{2}$  and  $\frac{3\pi}{2}$   $f'(x) = 0$  so the function can have a maximum and minimum.

On the interval  $(0, \pi)$   $f''(x)$  is negative so the function is concave down

On the interval  $(\pi, 2\pi)$   $f''(x)$  is positive so the function is concave up

At  $\frac{\pi}{2}$   $f''(x)$  is negative so the function has a maximum

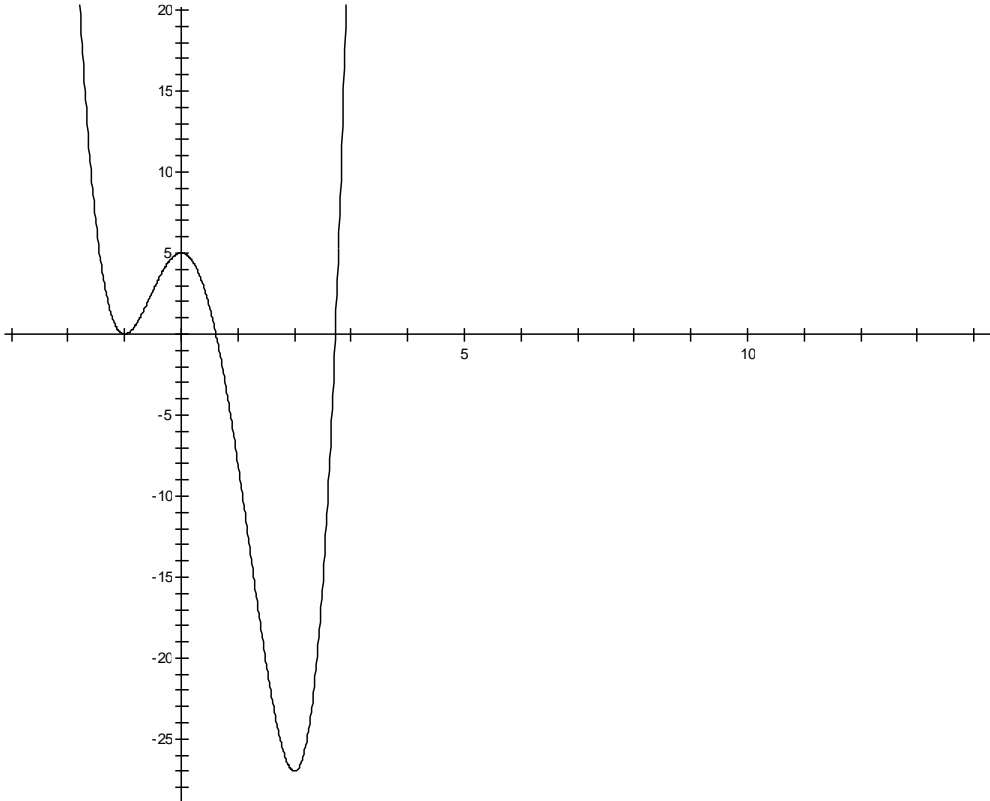
At  $\frac{3\pi}{2}$   $f''(x)$  is positive so the function has a minimum

At  $0, \pi, 2\pi$   $f''(x) = 0$  so the function has a point of inflection.

**Example:**

Let's look at the function  $f(x) = 3x^4 - 4x^3 - 12x^2 + 5$

The graph looks like this.



Using the rational root theorem, we can find that -1 is a root twice.

$$f(x) = 3x^4 - 4x^3 - 12x^2 + 5 = (x+1)^2(3x^2 - 10x + 5)$$

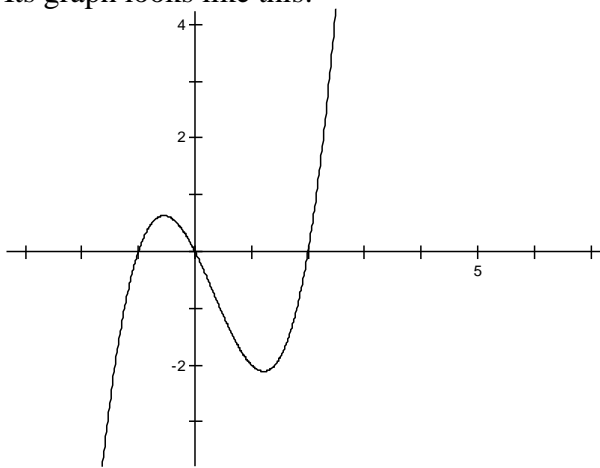
Using the quadratic formula, we can find the remaining two roots

$$\frac{5 \pm \sqrt{10}}{3} \approx .613 \text{ and } 2.72$$

The derivative is

$$f'(x) = 12x^3 - 12x^2 - 24x = 12x(x-2)(x+1)$$

Its graph looks like this:



On the interval  $(-\infty, -1)$   $f'(x) < 0$  so the function is decreasing

On the interval  $(-1, 0)$   $f'(x) > 0$  so the function is increasing

On the interval  $(0, 2)$   $f'(x) < 0$  so the function is decreasing

On the interval  $(2, \infty)$   $f'(x) > 0$  so the function is increasing

The derivative has roots at  $\{-1, 0, 2\}$  so these are critical points.

The derivative goes from  $-$  to  $+$  at  $-1$  so this is a minimum

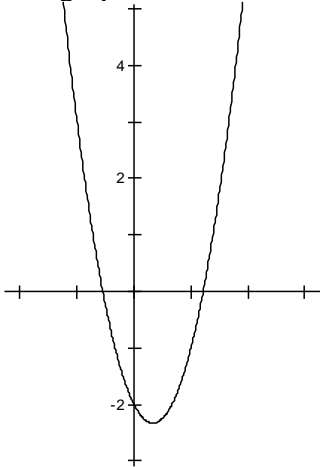
The derivative goes from  $+$  to  $-$  at  $0$  so this is a maximum

The derivative goes from  $-$  to  $+$  at  $2$  so this is a minimum

The second derivative is

$$f''(x) = 36x^2 - 24x - 24 = 12(3x^2 - 2x - 2)$$

The graph looks like



The roots are at

$$\frac{1 \pm \sqrt{7}}{3} \approx -0.55, 1.21$$

So, these are points of inflection on  $f(x)$

On the interval  $(-\infty, -0.55)$

If  $f''(x) < 0$  so the graph is concave down

On the interval  $(-0.55, 1.21)$

If  $f''(x) > 0$  so the graph is concave up

On the interval  $(1.21, \infty)$

If  $f''(x) > 0$  so the graph is concave up

Also note that

$f''(-1) = 12 > 0$  so, this is a minimum

$f''(0) = -24 < 0$  so, this is a maximum

$f''(2) = 6 > 0$  so, this is a minimum

## Exercises

Describe the concavity of the graph and find the points of inflection (if any).

1.\*  $\frac{1}{x}$ .

5.\*  $\frac{1}{4}x^4 - \frac{1}{2}x^2$ .

9.\*  $(1-x)^2(1+x)^2$ .

2.  $x + \frac{1}{x}$ .

6.  $x^3(1-x)$ .

10.  $\frac{6x}{x^2+1}$ .

3.\*  $x^3 - 3x + 2$ .

7.\*  $\frac{x}{x^2-1}$ .

11.\*  $\frac{1-\sqrt{x}}{1+\sqrt{x}}$ .

4.  $2x^2 - 5x + 2$ .

8.  $\frac{x+2}{x-2}$ .

12.  $(x-3)^{1/5}$ .

13.\* Find  $d$  given that  $(d, f(d))$  is a point of inflection of

$$f(x) = (x-a)(x-b)(x-c).$$

For each of the following functions (i) find the critical points, (ii) find and classify extreme values (local, end-point, absolute), (iii) indicate where the function is increasing and where it is decreasing, (iv) indicate the concavity of the graph, (v) specify the points of inflection, and then (vi) sketch the graph.

1.\*  $f(x) = (x-2)^2$ .

2.  $f(x) = 1 - (x-2)^2$ .

3.\*  $f(x) = x^3 - 2x^2 + x + 1$ .

4.  $f(x) = \frac{2}{3}x^3 - \frac{1}{2}x^2 - 10x - 1$ .

5.\*  $f(x) = \frac{x}{3x-1}$ .

6.  $f(x) = \frac{2x}{4x-3}$ .

7.\*  $f(x) = \frac{x^2}{3x+1}$ .

8.  $f(x) = \frac{2x^2}{-x+1}$ .

9.\*  $f(x) = \frac{x}{(3x+1)^2}$ .

10.  $f(x) = \frac{2x}{(x+1)^2}$ .

11.\*  $f(x) = 3x^5 + 5x^3$ .

12.  $f(x) = 3x^4 + 4x^3$ .

13.\*  $f(x) = 1 + (x-2)^{4/3}$ .

14.  $f(x) = 1 + (x-2)^{5/3}$ .

15.\*  $f(x) = x^2(1+x)^2$ .

16.  $f(x) = x^2(1+x)^3$ .

17.\*  $f(x) = x\sqrt{1-x}$ .

18.  $f(x) = \sqrt{x-x^2}$ .